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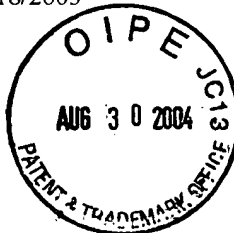


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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/642,912	08/18/2003	Douglas LeCrone	EMC-97-009CON1

24227
 EMC CORPORATION
 OFFICE OF THE GENERAL COUNSEL
 176 SOUTH STREET
 HOPKINTON, MA 01748



CONFIRMATION NO. 3185

FORMALITIES LETTER



OC000000013510768

Date Mailed: 08/11/2004

NOTICE TO FILE CORRECTED APPLICATION PAPERS

Filing Date Granted

An application number and filing date have been accorded to this application. The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

The required item(s) identified below must be timely submitted to avoid abandonment:

- Replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121 are required. The drawings submitted are not acceptable because:
 - The drawings submitted to the Office are not electronically reproducible. Drawing sheets must be submitted on paper, which is flexible, strong, white, smooth, non-shiny, and durable (see 37 CFR 1.84(e)). See Figure(s) 1F, 2C.

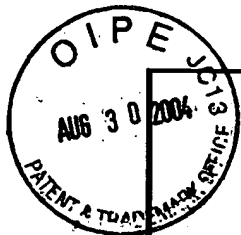
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*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



TRANSMITTAL FORM

Application No.:	10/642,912
Filing Date:	August 18, 2003
First Named Inventor:	Douglas LeCrone, et al
Group Art Unit	2186
Examiner:	Not Yet Assigned
Customer No.	24227

Total Number of Pages in this Submission:

Docket No. **EMC-97-153CON1**

ENCLOSURES (check all that apply)

- | | | |
|--|---|---|
| <input checked="" type="checkbox"/> Fee Transmittal Authorizing the Commissioner to charge Deposit Account No. 05-0889 (in duplicate) | <input checked="" type="checkbox"/> Response to Notice to File Corrected Application Papers | <input type="checkbox"/> Petition for Revival of an Unintentionally Abandoned Application [37 CFR 1.137(b)] |
| <input type="checkbox"/> Amendment/Response
<input type="checkbox"/> After Final
<input type="checkbox"/> Affidavits/Decl. | <input checked="" type="checkbox"/> Two (2) Sheets of Substitute Drawings, Figs. 1d, 1e and 1f and Fig. 2c | <input type="checkbox"/> Associate Power of Attorney |
| <input type="checkbox"/> Extension of Time Request for ___ Months | <input checked="" type="checkbox"/> Copy of Notice to File Corrected Application Papers | <input type="checkbox"/> Express Abandonment Request |
| <input type="checkbox"/> Information Disclosure Statement | <input type="checkbox"/> Revocation of Power of Attorney | <input type="checkbox"/> Terminal Disclaimer |
| <input type="checkbox"/> Assignment | <input type="checkbox"/> Issue Fee Payment on USPTO Form PTOL-85B, "Part B— Issue Fee Transmittal" | <input checked="" type="checkbox"/> Certificate of First Class Mailing |
| <input type="checkbox"/> Recordation Cover Sheet | <input type="checkbox"/> Formal Drawings, labeled | <input type="checkbox"/> Certificate of Express Mail Mailing |
| <input type="checkbox"/> Declaration/Power of Attorney | <input type="checkbox"/> Letter/Transmittal to Official Draftsperson | <input checked="" type="checkbox"/> Postcard |
| <input type="checkbox"/> Certified Copy of Priority Document(s) | <input type="checkbox"/> Copy of PTO 948, "Notice of Draftsperson's Patent Review | <input type="checkbox"/> Additional Enclosures: |
| | <input type="checkbox"/> Status Inquiry | |

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

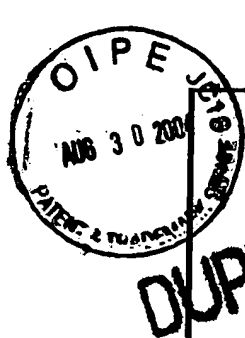
<u>August 26, 2004</u> Date	<u>Penelope S. Wilson</u> Penelope S. Wilson, Esq. (Reg. No. 29,751) EMC Corporation Office of the General Counsel 176 South Street Hopkinton, MA 01748
Tel: (508) 293-7998	
Fax: (508) 293-7189	

CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR 1.8]

I hereby certify that this correspondence and above-referenced enclosures are being:

- ☒ Deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: : Mail Stop: Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.
- ☐ Transmitted by facsimile on the date shown below to the Patent and Trademark Office at (703)

<u>August 27, 2004</u> Date	<u>Sandra A. Kulaga</u> Signature
	Sandra A. Kulaga
	Typed or printed name of person signing certificate



TRANSMITTAL
FORM

DUPLICATE

Application No.:	10/642,912
Filing Date:	August 18, 2003
First Named Inventor:	Douglas LeCrone, et al
Group Art Unit	2186
Examiner:	Not Yet Assigned
Customer No.	24227

Total Number of Pages in this Submission:

Docket No. EMC-97-153CON1

ENCLOSURES (check all that apply)

☒ Fee Transmittal Authorizing the Commissioner to charge Deposit Account No. 05-0889 (in duplicate)

☐ Amendment/Response
☐ After Final
☐ Affidavits/Decl.

☐ Extension of Time Request for ___ Months

☐ Information Disclosure Statement

☐ Assignment
☐ Recordation Cover Sheet

☐ Declaration/Power of Attorney

☐ Certified Copy of Priority Document(s)

☒ Response to Notice to File Corrected Application Papers

☒ Two (2) Sheets of Substitute Drawings, Figs. 1d, 1e and 1f and Fig. 2c

☒ Copy of Notice to File Corrected Application Papers

☐ Revocation of Power of Attorney

☐ Issue Fee Payment on USPTO Form PTOL-85B, "Part B— Issue Fee Transmittal"

☐ Formal Drawings, labeled
☐ Letter/Transmittal to Official Draftsperson

☐ Copy of PTO 948, "Notice of Draftsperson's Patent Review Status Inquiry"

☐ Petition for Revival of an Unintentionally Abandoned Application [37 CFR 1.137(b)]

☐ Associate Power of Attorney

☐ Express Abandonment Request

☐ Terminal Disclaimer

☒ Certificate of First Class Mailing

☐ Certificate of Express Mail Mailing

☒ Postcard

☐ Additional Enclosures:

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

August 26, 2004
Date

Penelope S. Wilson
Penelope S. Wilson, Esq. (Reg. No. 29,751)
EMC Corporation
Office of the General Counsel
176 South Street
Hopkinton, MA 01748

Tel: (508) 293-7998

Fax: (508) 293-7189

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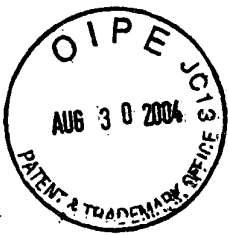
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August 27, 2004
Date

Signature

Sandra A. Kulaga

Typed or printed name of person signing certificate



PATENT
EMC-97-153CON1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS:	Douglas LeCrone, et al	GROUP ART UNIT:	2186
U.S.S.N.:	10/642,912	EXAMINER:	Not Yet Assigned
FILING DATE:	August 18, 2003	CUSTOMER NO.:	24227
TITLE:	HOST SYSTEM FOR MASS STORAGE BUSINESS CONTINUANCE VOLUMES		

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to: Mail Stop: Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on August 27, 2004.

Sandra A. Kulaga

Mail Stop: Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

**RESPONSE TO NOTICE TO FILE
CORRECTED APPLICATION PAPERS**

Sir:


This is in response to the Notice to File Corrected Application Papers mailed August 11, 2004. Enclosed are two substitute sheets of drawings for Figures 1d, 1e and 1f and Figure 2c. Also enclosed is a copy of the Notice to File Corrected Application Papers.

Applicant: Douglas LeCrone, et al
U.S.S.N. 10/642,912
Filing Date: August 18, 2003
Atty. Docket No.: EMC-97-153CON1

It is requested that all fees occasioned by this submission be charged to Deposit Account No. 05-0889. In the event the Examiner deems personal contact desirable in disposition of this case, the Examiner is invited to call the undersigned attorney at (508) 293-7998.

Respectfully submitted,

Dated: August 26, 2004


Penelope S. Wilson, Esq. (Reg. No. 29,751)

Attorney for Applicant
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